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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT TRANSMITTAL FORM

Applicant: Alan Kirby

Serial No.: 10/763,586

Filed: January 23, 2004

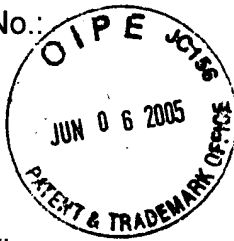
For: METHOD AND SYSTEM FOR TREATING WATER

Art Unit: 1724

Examiner: Betsey M. Hoey

Confirmation No.: 7735

Customer No.: 27623



Attorney Docket No.: 2144.048USU

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

We are enclosing:

1. Information Disclosure Statement with copies of references;
2. PTO Form 1449;
3. Transmittal letter in duplicate;
4. Postcard.

Please charge any additional fees or credit any such fees, if necessary  
to Deposit Account **No. 01-0467** in the name of Ohlandt, Greeley, Ruggiero & Perle. A  
duplicate copy of this sheet is attached.

Respectfully submitted,

John Yankovich  
Reg. No. 42,240

Ohlandt, Greeley, Ruggiero & Perle, L.L.P.  
One Landmark Square, 10<sup>th</sup> Floor  
Stamford, Connecticut 06901-2682  
(203) 327-4500

Date: June 3, 2005

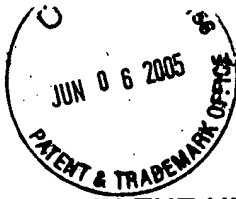
**CERTIFICATE OF MAILING**

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL  
SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: MAIL STOP AMENDMENT,  
COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, , ON June 3, 2005.

J. Nicole Smith  
NAME

SIGNATURE

June 3, 2005  
DATE



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with applicant's duty of disclosure under 37 C.F.R. §1.56, we are enclosing form PTO-1449 listing information that may be material to the patentability of this application.

We are also enclosing a copy of each of the following citations that are listed in form PTO-1449: PCT International Patent No. WO 00/27760 and the International Search Report corresponding to International Patent Application No. PCT/CA03/00463.

Cited in the attached PTO-1449 are several U.S. Patents. Pursuant to the waiving of the requirement of 37 CFR 1.98 (a)(2)(i), we are not enclosing copies of these U.S. Patents.

It should be understood that attention has been called to the citations that have been deemed to be pertinent to the claimed present invention. In concluding what was pertinent, the criteria employed was considered most appropriate in light of the invention shown in the present application. However, the Examiner or others may deem some other criteria to be just as appropriate or more appropriate. Therefore, the Examiner is respectfully urged to review the listed citations and to make the usual careful independent search for other prior art that may be pertinent.

Since this Information Disclosure Statement is being filed prior to the issuance of the first Office Action based on the merits, no petition or fee is required.

Applicant respectfully requests favorable consideration and that this application be passed to allowance.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "John Yankovich", is written over the typed name and registration number.

John Yankovich

Reg. No. 42,240

Attorney for Applicant(s)

Ohlandt, Greeley, Ruggiero & Perle, L.L.P.

One Landmark Square, 10th Floor

Stamford, CT 06901-2682

(203) 327-4500

CUSTOMER NO.: 27623

Sheet 1 of 4

FORM PTO-1449

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number (Optional)

2144.048USU

Application Number

10/763,586

Applicant

Kirby

Filing Date

January 23, 2004

Group Art Unit

1724

## U. S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1,996,159	4/1935	Kittredge			
	3,142,306	7/1964	Boka, Jr.			
	3,155,612	11/1964	Weber			
	3,220,706	11/1965	Valdespino			
	4,673,552	6/1987	Li et al.			
	5,075,016	12/1991	Barnes			
	5,082,518	1/1992	Molinaro			
	5,110,366	5/1992	McGregor			
	5,222,512	6/1993	McGregor			
	5,259,008	11/1993	Schulz			
	5,330,624	7/1994	Ebert			
	5,497,561	3/1996	Murray, Sr. et al.			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	WO 00/27760	5/2000	PCT				

## OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

	International Search Report corresponding to International Patent Application No. PCT/CA03/00463

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

CUSTOMER NO.: 27623

Sheet 2 of 4

FORM PTO-1449

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number (Optional)

2144.048USU

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Applicant

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Group Art Unit

1724

## U. S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,577,668	11/1996	King et al.			
	5,597,460	1/1997	Reynolds			
	5,597,477	1/1997	Harry, III			
	5,642,955	7/1997	Jensen			
	5,665,228	9/1997	Leaverton et al.			
	5,674,312	10/1997	Mazzei			
	5,783,118	7/1998	Kolaini			
	5,824,243	10/1998	Contreras			
	5,846,374	12/1998	Parab et al.			
	5,875,222	2/1999	Thompson			
	5,895,817	4/1999	Wagner			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

## OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)


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Sheet 3 of 4

FORM PTO-1449

INFORMATION DISCLOSURE CITATION  
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1724

## U. S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,968,352	10/1999	Ditzler			
	5,985,123	11/1999	Koon			
	6,054,046	4/2000	Nelson			
	6,068,778	5/2000	Steiner et al.			
	6,103,130	8/2000	Sherman			
	6,106,731	8/2000	Hayes			
	6,123,750	9/2000	Espinal			
	6,179,997	1/2001	Vedder, Jr. et al.			
	6,207,064	3/2001	Gargas			
	6,251,289	6/2001	Sherman			
	6,372,148	4/2002	Martin et al.			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

## OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

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<b>FORM PTO-1449</b>  <b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>  (Use several sheets if necessary)	Docket Number (Optional) <b>2144.048USU</b>	Application Number <b>10/763,586</b>
	Applicant <b>Kirby</b>	
	Filing Date <b>January 23, 2004</b>	Group Art Unit <b>1724</b>

### U. S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,383,399	5/2002	Sherman			
	6,427,710	8/2002	Kempen et al.			
	6,436,710	8/2002	Sivavec			
	6,464,210	10/2002	Teran et al.			

### FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

### OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER	DATE CONSIDERED
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